

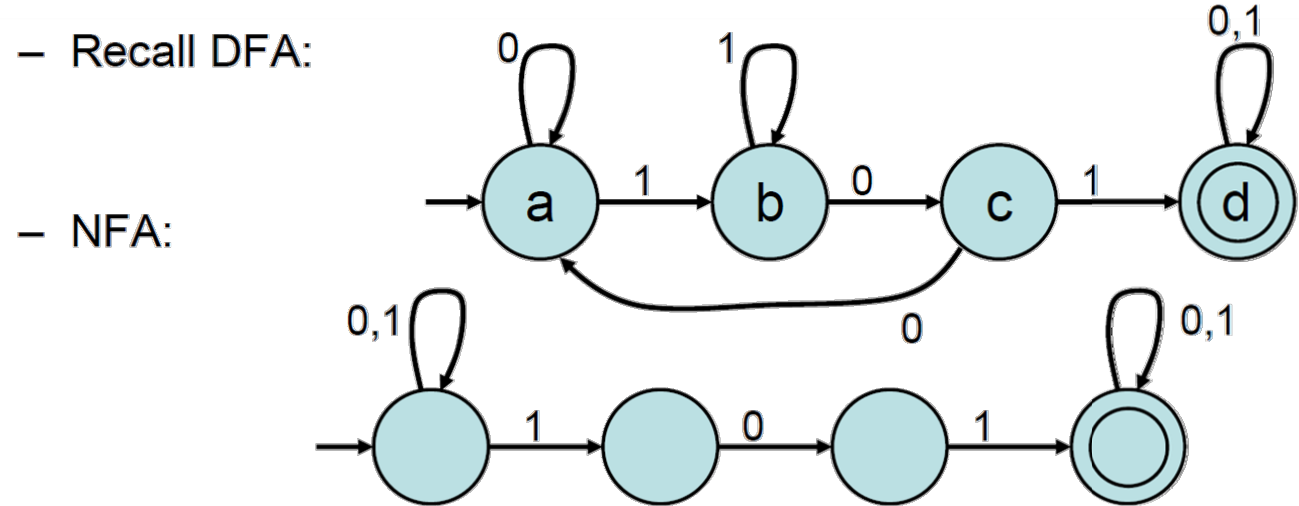
# NFA vs DFA

# Nondeterministic FA vs Deterministic FA

- NFA can be easier to construct
  - NFA diagrams are usually smaller than DFA
  - NFA states may be easier to understand
- NFA and DFA can recognize the same languages
  - If a language is DFA-recognizable it is also NFA-recognizable and vice versa.
  - Two machines are **equivalent** if they recognize the same language.
- Theorem: Every NFA has an equivalent DFA
  - NFA can always be converted into DFA
  - DFA may have many more states

# NFA vs DFA

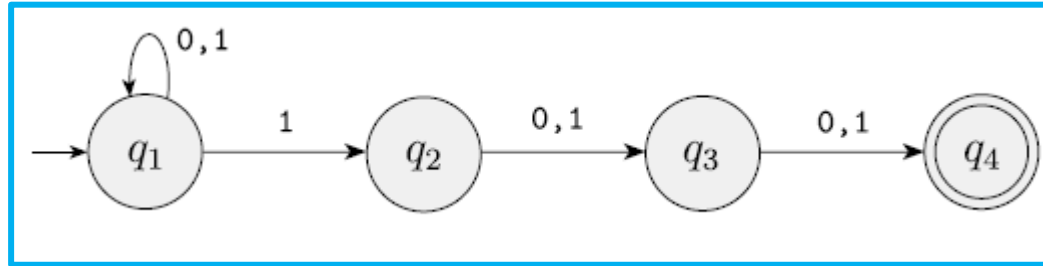
- NFAs and DFAs have same power
  - NFAs can be “simpler” than equivalent DFAs
- Example:  $L =$  Strings having substring 101
- NFA “guesses” by following a path that goes through those states
  - Easier to see the required 101 pattern



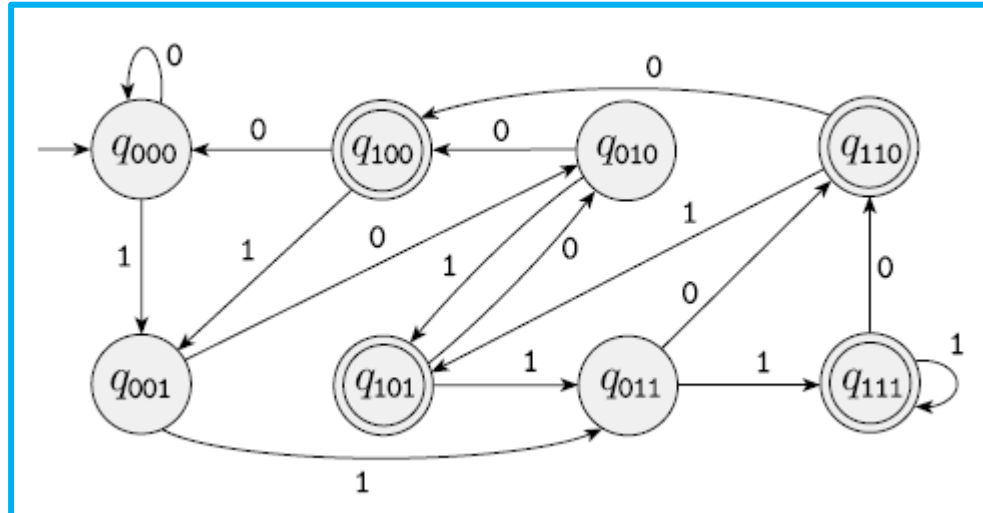
# NFA vs DFA diagram

- Let A be the language consisting of binary strings with a
  - 1 in the 3<sup>rd</sup> position from the end

- NFA that recognizes A

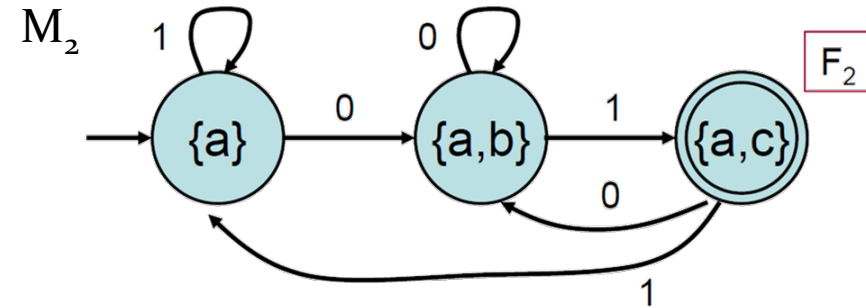
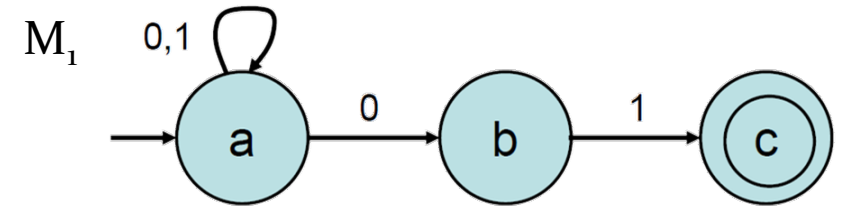


- DFA that recognizes A



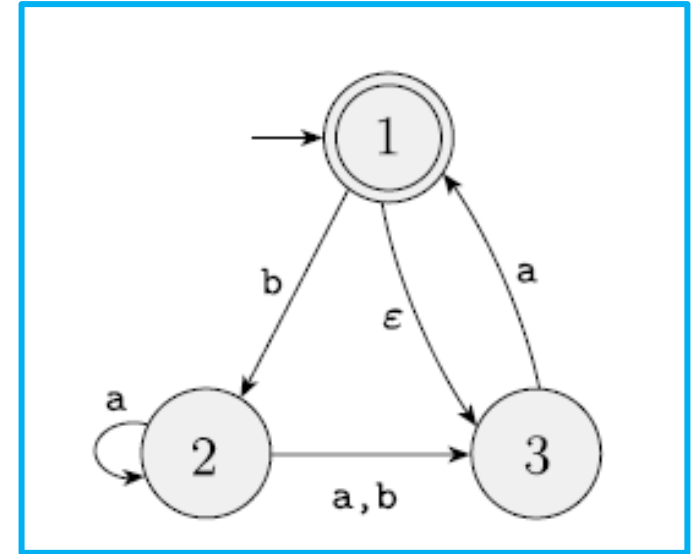
# Example: NFA to DFA

- Convert NFA  $M_1$  to DFA  $M_2$
- List all possible states  $M_2$ 
  - Powerset  $P(Q)$  where  $Q = \{a,b,c\}$
  - $P(Q) = \{ \{\}, \{a\}, \{b\}, \{c\}, \{a,b\}, \{a,c\}, \{b,c\}, \{a,b,c\} \}$ 
    - Each set is now a state
- Determine start and accept states of  $M_2$ 
  - $q_0 = \{a\}$  and any state with  $c$  is an accept state.
- Determine the transition function of  $M_2$ 
  - $\delta(p, a) =$  set of all states that are reachable from  $p$  by traveling along edge with symbol  $a$  in  $M_1$ 
    - $p$  maybe multiple states in  $a$
  - Draw new node and edge in diagram or note in transition table
- Remove/ignore unreachable elements in  $P(Q)$



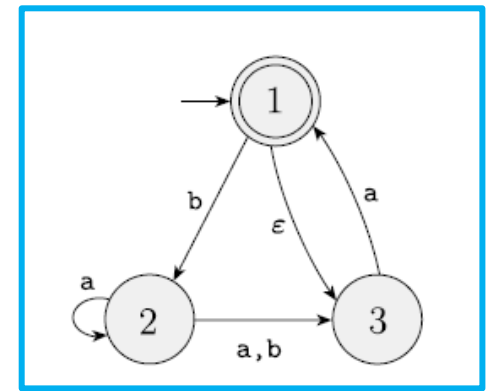
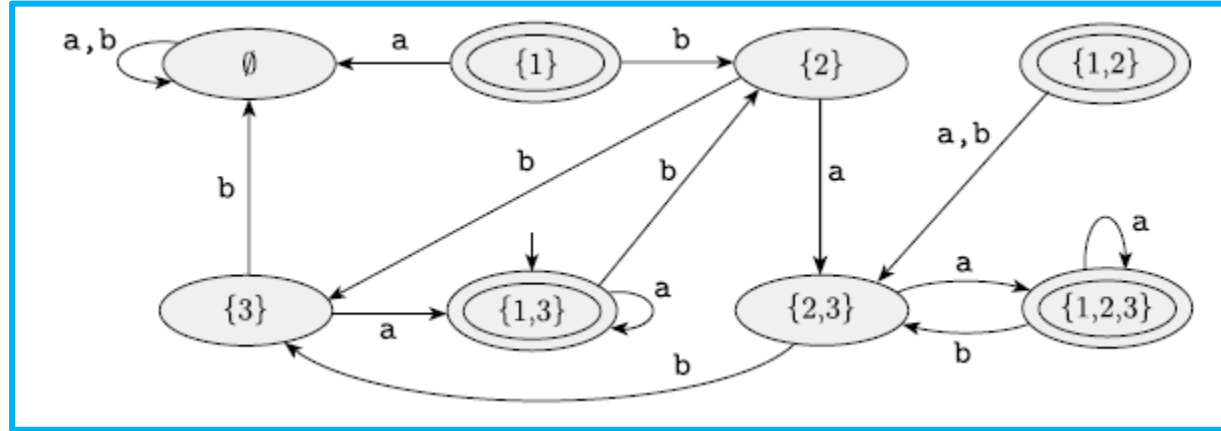
# Example 2: NFA to DFA

- NFA  $N$  with  $Q_N = \{ 1, 2, 3 \}$
- Corresponding states for DFA  $D$ 
  - $Q_D = \{ \{\}, \{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\} \}$ .
- Determine start state  $E\{1\}$ 
  - $E(\{a\}) =$  set of all states that are reachable from  $a$  by traveling along  $\epsilon$ -arrows, plus  $a$  itself
  - Start state:  $\{1,3\}$
- Determine accept states
  - $F_D = \{ \{1\}, \{1,2\}, \{1,3\}, \{1,2,3\} \}$

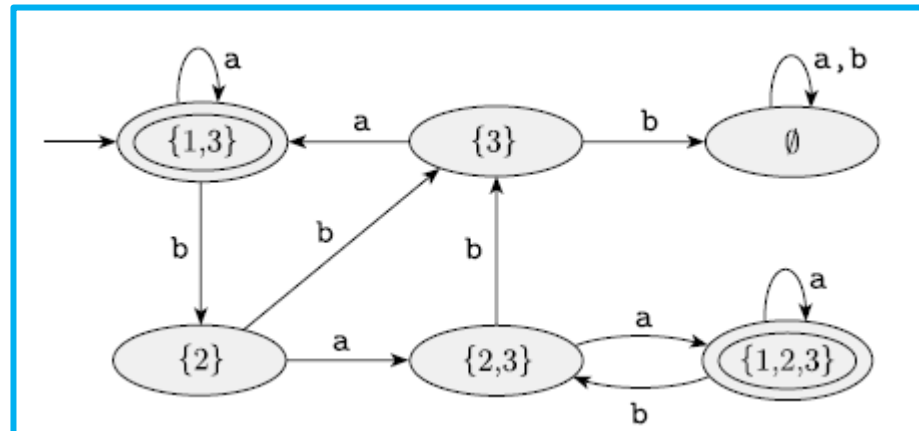


# Example 2: NFA to DFA

- Determine  $D$ 's transition function with table or diagram



- Remove all unreachable states



# Alternative Method: NFA to DFA

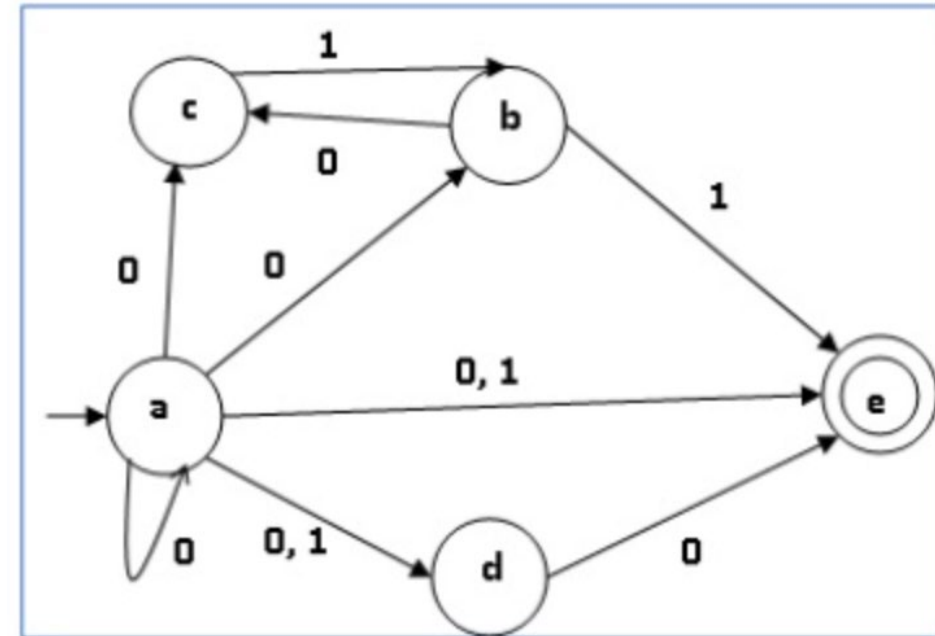
1. Create **NFA state table** from the given NFA
2. Create a blank **DFA state table** under possible input alphabets for the equivalent DFA
3. Mark the **start state** of DFA  $q_0 = E[q]$  as current state
  - $q +$  states after  $\epsilon$ -transitions
4. Find the **set of all NFA states that are reachable** from the current DFA state
5. Each time we generate a **new DFA state** under the input alphabet return to step 5
6. When no new edges can be created
  - **Draw** diagram and mark all reachable accept states



# Alternative Method: NFA to DFA

- Step 1: Create state table from given NFA diagram

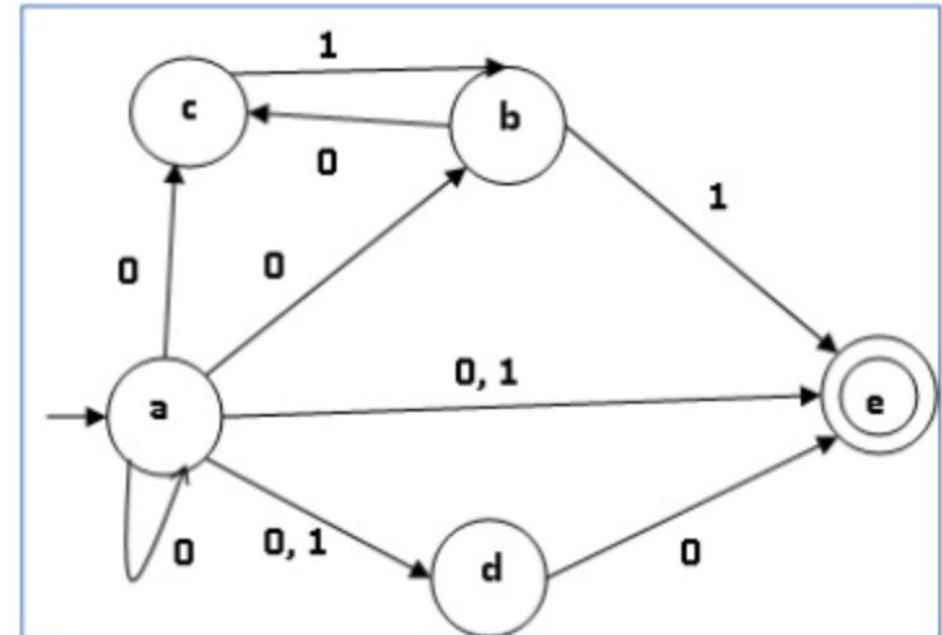
NFA: $q$	$\delta(q,0)$	$\delta(q,1)$
a	{a,b,c,d,e}	{d,e}
b	{c}	{e}
c	$\emptyset$	{b}
d	{e}	$\emptyset$
e	$\emptyset$	$\emptyset$



# Alternative Method: NFA to DFA

- Steps 2-5: Create DFA table, start state, find all transitions

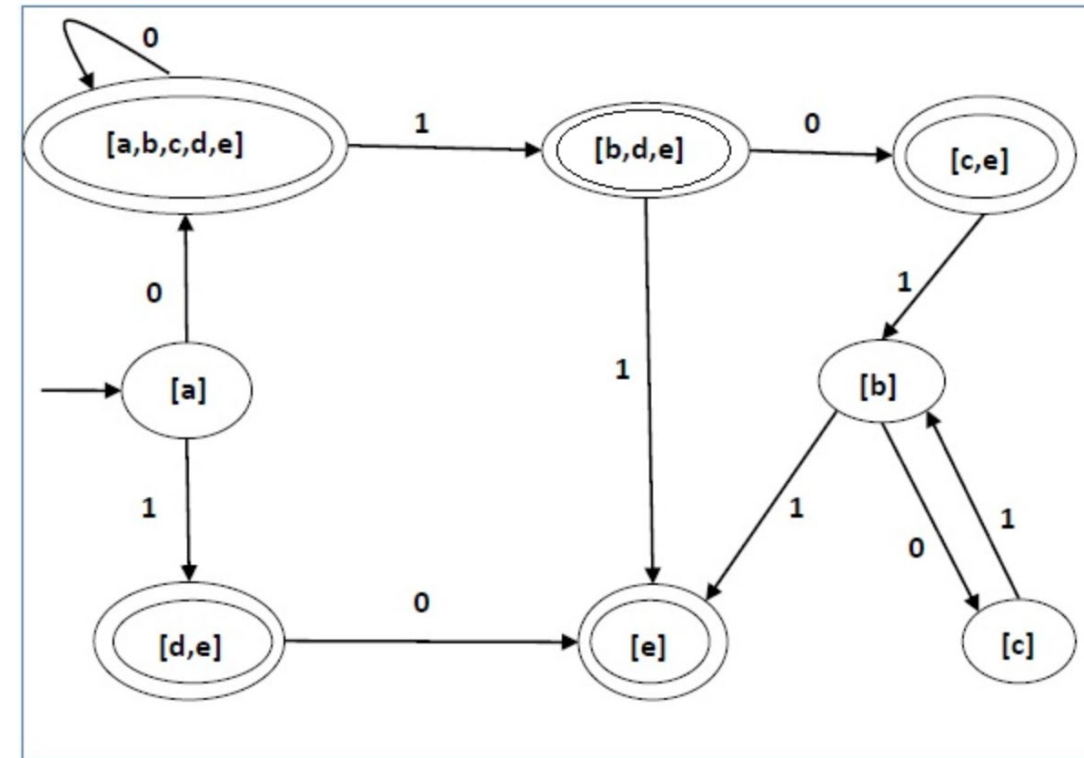
DFA: $q$	$\delta(q,0)$	$\delta(q,1)$
{a}	{a,b,c,d,e}	{d,e}
{a,b,c,d,e}	{a,b,c,d,e}	{b,d,e}
{d,e}	{e}	$\emptyset$
{b,d,e}	{c,e}	{e}
{e}	$\emptyset$	$\emptyset$
{c,e}	$\emptyset$	{b}
{b}	{c}	{e}
{c}	$\emptyset$	{b}



# Alternative Method: NFA to DFA

- Steps 6: Draw transition diagram and mark accept states

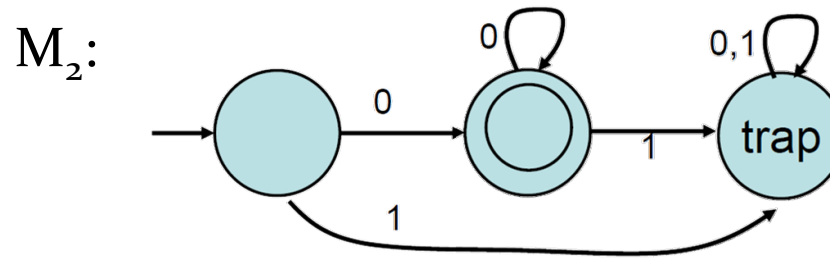
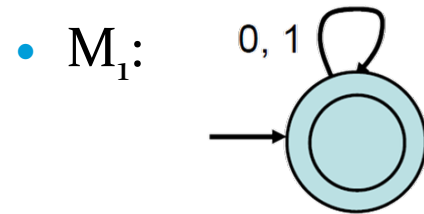
DFA: q	$\delta(q,0)$	$\delta(q,1)$
{a}	{a,b,c,d,e}	{d,e}
{a,b,c,d,e}	{a,b,c,d,e}	{b,d,e}
{d,e}	{e}	$\emptyset$
{b,d,e}	{c,e}	{e}
{e}	$\emptyset$	$\emptyset$
{c,e}	$\emptyset$	{b}
{b}	{c}	{e}
{c}	$\emptyset$	{b}



# DFA Closure under Concatenation

- Example

- $\Sigma = \{0,1\}$ ,  $L_1 = \Sigma^*$ ,  $L_2 = \{0\}\{0\}^*$  (just zeros, at least one)
- $L_1L_2 =$  Strings that end with a block of at least one 0



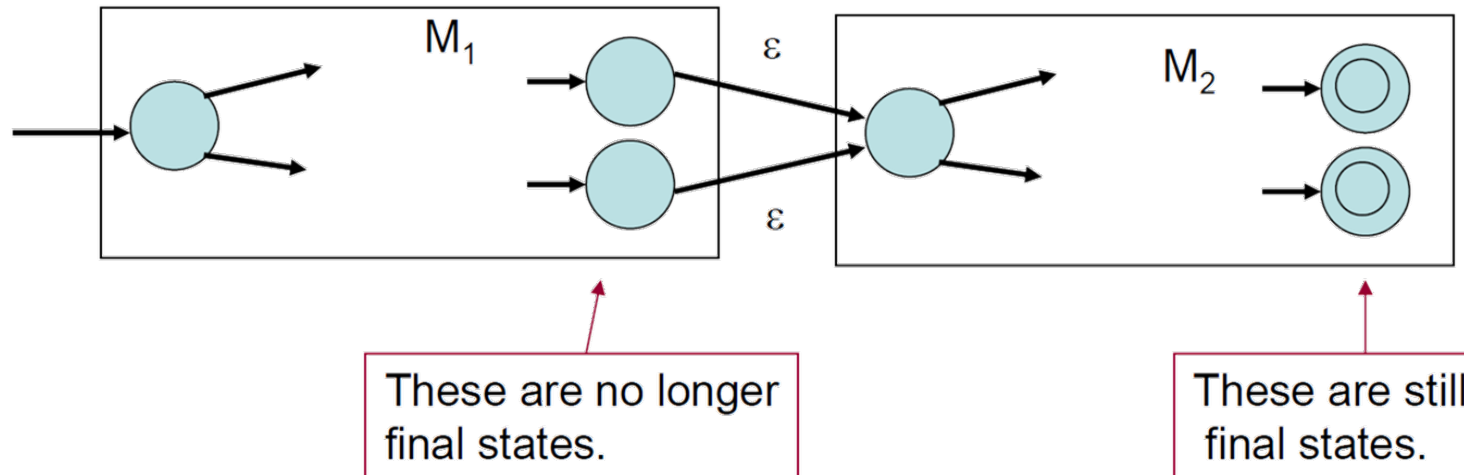
- How to combine?

- Need to “guess” when to shift to  $M_2$
- Leads to our next model, Nondeterministic Finite Automata
  - FAs that can guess

- Closure under star operation is an extension of this.

# NFA Closure under Concatenation

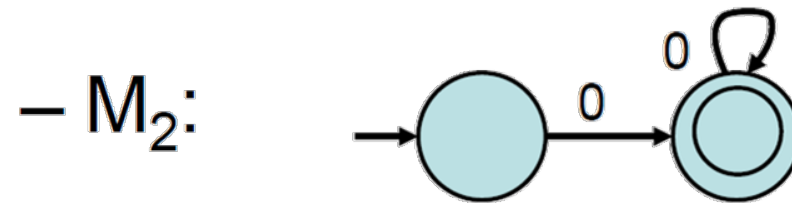
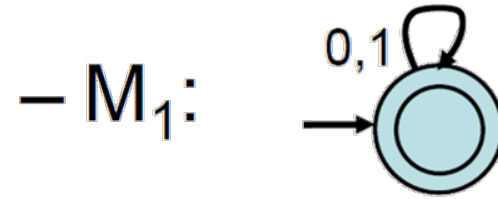
- $L_3 = L_1 \circ L_2 = \{xy \mid x \in L_1 \text{ and } y \in L_2\}$
- Start with NFAs  $M_1$  and  $M_2$ 
  - Start state of  $M_1$  is now the start of  $M_3$
  - Connect  $\epsilon$ -transitions from all  $M_1$  accept states to  $M_2$  start state
  - Accept states of  $M_1$  become non-accept states
  - $M_3$  accepts are  $M_2$  accept states



# NFA Closure under Concatenation

- $L_1 = \{0,1\}^*$

- Any string

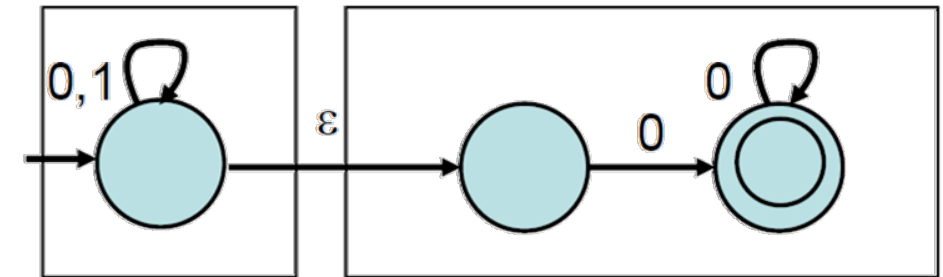


NFAs

- $L_2 = \{0\}\{0\}^*$

- String of all zeros
- At least 1 zero

– Now combine:

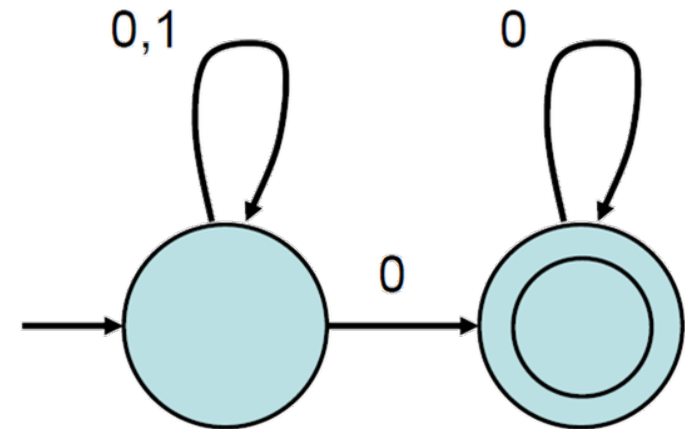


- $L_3 = \{0,1\}^*\{0\}\{0\}^*$

- String ends in a zero block with at least one zero

# NFA Closure Under Concatenation

- Could not show with DFA
- $L = \{0,1\}^*\{0\}\{0\}^*$ 
  - Strings that consist of a 0 between
  - a **binary string** of any length and
  - a **0 string** of any length.
- NFA can guess when the critical 0 occurs



# Closure under Star Operation

- Star Operation

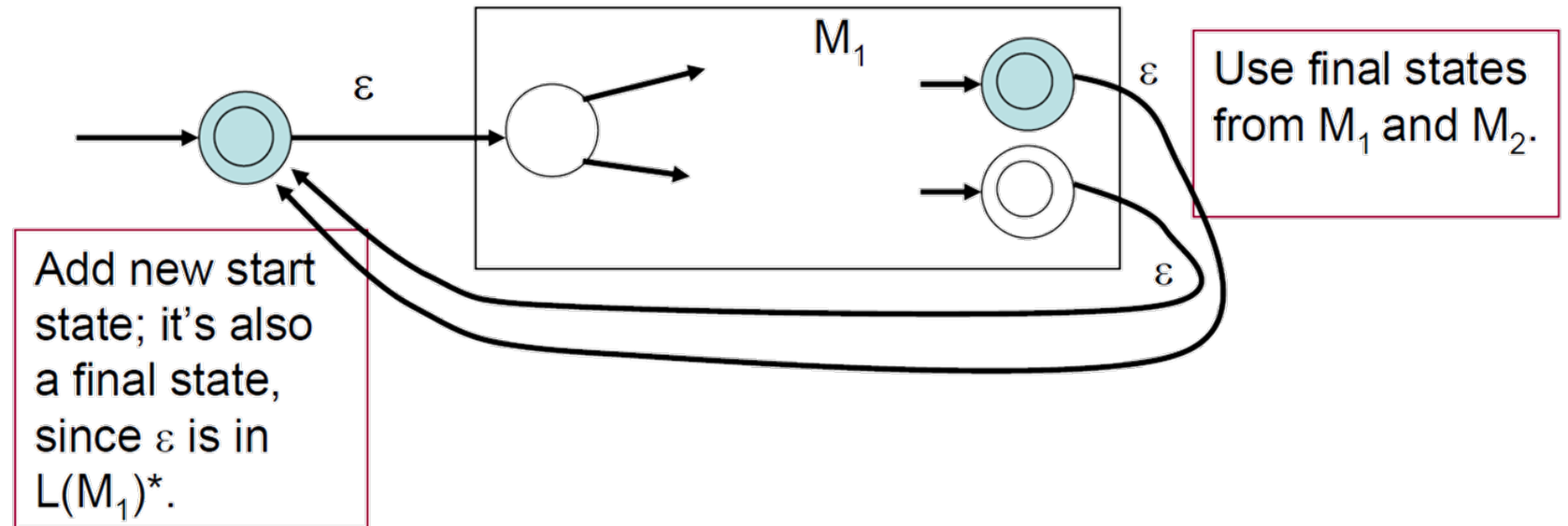
- $L^* = \{x \mid x = y_1 y_2 \dots y_k \text{ for some } k \geq 0, \text{ every } y \text{ in } L\}$
- Advanced form of concatenation plus  $\epsilon$

- Proof

- Start with FA  $M_1$
- Create NFA  $M_2$ 
  - $L(M_2) = L(M_1)^*$

- New start state for  $\epsilon$

- Connect accept states to new start state



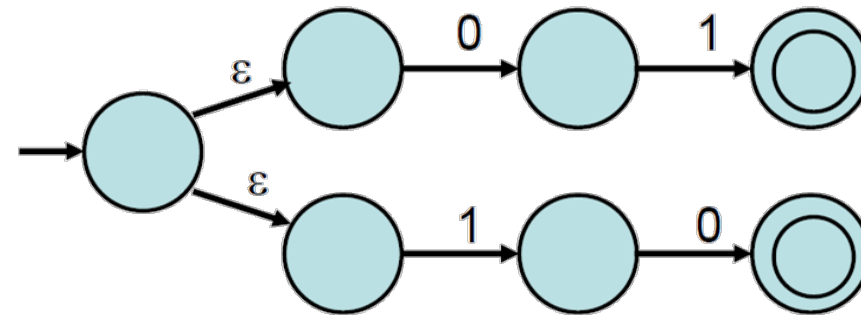


# Closure under Star Operation

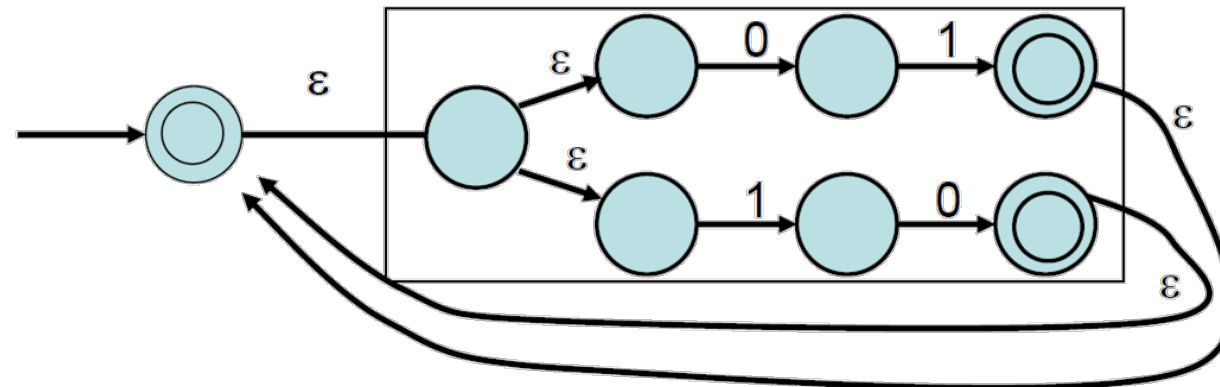
- Example

- $\Sigma = \{ 0, 1 \}$
- $L_1 = \{ 01, 10 \}$
- $(L_1)^* = \text{even-length strings where each pair consists of a 0 and a 1}$

–  $M_1$ :



– Construct  $M_2$ :

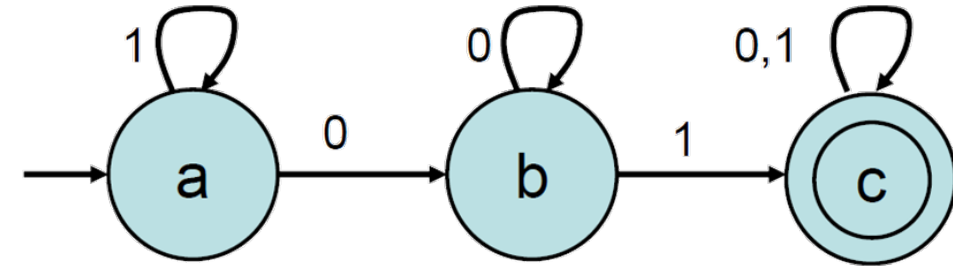


# DFA Closure under Union

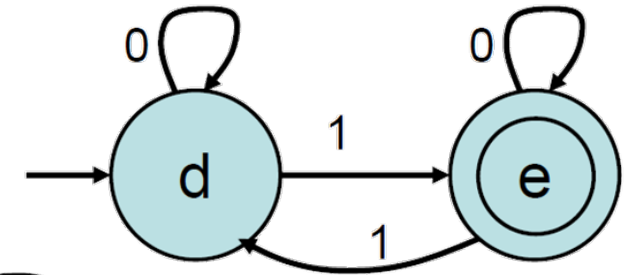
- Theorem: FA-recognizable languages are close under union
- DFA proof
  - Start with 2 DFAs
  - Create 3<sup>rd</sup> DFA by running the original to in parallel
  - If either reaches an accepting state, accept

- **Example:**

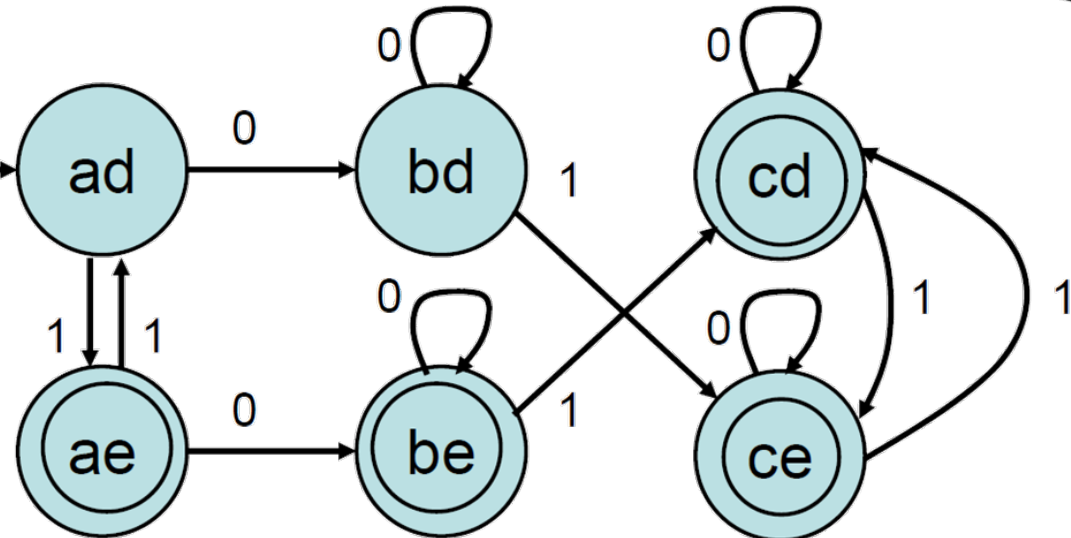
$M_1$ : Substring 01



$M_2$ : Odd number of 1s



$M_3$ : 1



# NFA Closure under Union

- NFA proof
  - Start with 2 NFAs
  - Create 3<sup>rd</sup> by adding a new start state and  $\epsilon$  arrows connecting to the 2 original NFAs
- Note: NFAs don't help with Intersection

- **Theorem:** FA-recognizable languages are closed under union.
- **New Proof:**
  - Start with NFAs  $M_1$  and  $M_2$ .
  - Get another NFA,  $M_3$ , with  $L(M_3) = L(M_1) \cup L(M_2)$ .

